



# **GLOBAL MARKET ACCESS, WORLD-CLASS SERVICE**

PRODUCTS AND SERVICES OVERVIEW

# About PGM

As an independent brokerage firm, Paragon Global Markets LLC (PGM) provides its clients with superior execution and clearing services in the global derivatives and FX markets.



Established with customer service at its core, PGM provides a wide range of services to a **broad spectrum of market participants**, including institutions, CTAs, hedge funds, commercial hedgers, broker-dealers, high net worth individuals and self-directed traders.



Our clients have access to **comprehensive data reporting technology**, innovative clearing and execution partners, leading trading platforms and global derivatives markets.



With our highly skilled, experienced staff of brokers and support professionals, we focus on delivering the **customized products and services** our clients need to help them succeed.

# PGM Value Proposition

At PGM, we focus on our clients' individual goals. By providing exceptional, personalized trade execution and clearing services, we believe that we deliver custom solutions for the unique needs of our diverse client base.

Experienced  
Trading Professionals

Clearing  
Flexibility

Integrated  
Technology

Increased Operational  
Efficiency

Reduced  
Counterparty Complexity





Enhanced  
Client Solutions



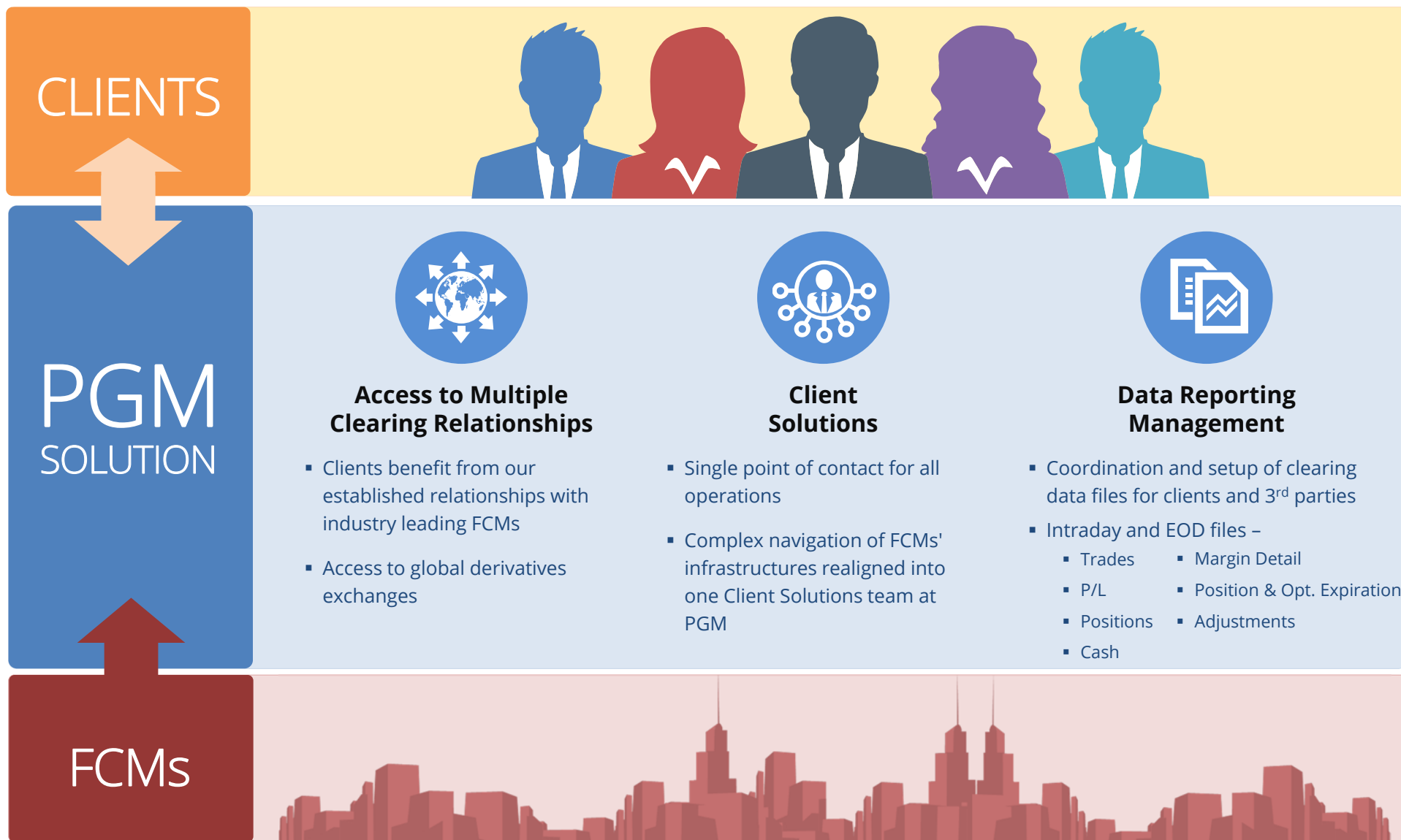
# Our Capabilities

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We serve our clients through a variety of capabilities & established relationships:

-  **Independence**
  - Independent broker
  - Multiple clearing provider relationships
  - No proprietary trading
  - Pure agency broker focusing exclusively on our clients
-  **Customer Service**
  - Superior customer service
  - Operational expertise
  - Dedicated Client Services contact
  - 24 hour operational support
-  **Technology**
  - Advanced professional trading platforms
  - Managed technology integrations
  - Comprehensive data and reporting capabilities
-  **Access**
  - All major global derivatives exchanges
  - Forex markets
  - Clearport/WebICE Execution
  - LME execution services as direct agent of Cat 2 member
  - OTC Hedging risk management solutions

# PGM Solution



# Our Strengths Set Us Apart

At PGM, we combine our industry expertise, our client focus and our access to industry leading product and service providers with the flexibility of an independent broker. We provide our clients with tailored solutions and a superior level of client service.



## Asset Diversification and Clearing Partnerships

Through their PGM relationship, our clients can maintain a single point of contact while realizing the diversification benefits of holding multiple clearing accounts.

## Flexibility and Customized Client Solutions

Our dedicated representatives construct customized solutions for each of our clients, based on their needs and goals.



## Experience and Expertise

Our execution, operations and client service teams have an average of over 25 years of experience.

## Fast Engagement

Our onboarding process is designed to streamline your account opening, data transmission and trading setups.



## Data & Technology Management

Our team coordinates trading infrastructure and reporting systems to suit appropriate risk management and transparency.



# Products and Services

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We customize our services to our clients. By identifying individual client needs, we can construct our execution and clearing services to achieve your goals.



# Data Reporting

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PGM clients have full access to extensive clearing data technology. Intraday and end of day portals and reports provide for account transparency and reconciliation.



- Web-based access
- Secure site which utilizes the latest firewall and encryption technology
- Secure access to daily and monthly statements
- Customizable account reports (based on clearing relationship) –
  - Trades
  - Positions
  - Balance/Margin
- Exportable data
- Market and Exchange News



# Client Solutions

To deliver on PGM's core principles, we emphasize customization and personalized service.



Single Point of Contact at PGM

to help navigate complex systems, policies and the infrastructures of one or more clearing providers.



- New Account Documentation
- Account Setup
- Data Transmission Setup
- Trading Platform Setup
- Give Up Agreement Preparation
- Trade Allocations
- Trade Reconciliation
- Commission and Fee Reconciliation
- Delivery and Option Assignment Coordination
- Managed Execution and Clearing



- FCMs
- Third party Technology Providers
- Exchanges
- CTAs
- Administrators

PGM Client Solutions

utilizes our established relationships

# Clearing Services

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Through established relationships with clearing providers, we have access to global derivatives exchanges, professional trading platforms and services.

In addition to our customized services, we offer our clients varied levels of clearing options. By providing flexible clearing capabilities, we are able to customize our services to meet our clients' individual needs.



# Execution Services



Through a variety of execution services and products, PGM provides access to advanced trading platforms to meet clients' individual trading preferences and needs. Our extensive platform access and services enable us to tailor a solution for our clients.

- Execution Desk
- Electronic Platforms
- Clearport/ICE block execution
- Give Ups
- Strategy Building
- LME execution services as direct agent of cat 2 member

## ACCESS TO ELECTRONIC PLATFORMS INCLUDING:



# FOREX

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In addition to our futures capabilities, we offer our clients access to the liquidity of the global FX markets. We also provide the tools, expertise and support that you need.

We offer industry leading FX trading platforms, which provide PGM clients comprehensive FX trading services.

- Access to 70+ spot FX markets
- Voice trading on spot FX and forwards
- Diverse interbank and multisource liquidity
- Pure agency execution
- Execution via trading platform, API or agency desk
- Ability to maintain current prime broker relationship
- Create top-of-book pricing
- EFPs

# Managed Futures



As a growing asset class, managed futures should be considered for allocation in most portfolios.

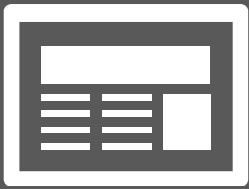
- Effectively investing in managed futures requires skill, experience, and education.
- PGM's managed futures experts work with our clients to develop a portfolio allocation program that will complement their core strategy and goals.
- PGM will have a consultation with the client to understand their portfolio and investment requirements and then research to identify a CTA or CTAs that may be appropriate to the client's unique circumstances.



Available reports for managed futures include:

- Sample portfolio construction
- CTA rankings
- CTA profiles and performance
- Statistical analysis

# Clearport / ICE Block / Nasdaq (NFX) Execution



When you choose PGM for your Cleared-OTC trading, you will receive:

- Access to liquidity pools through our established relationships with market-makers.
- Straight Through Processing (STP) with CME Direct.
- Complex options and structures placed on the CME, ICE and Nasdaq (NFX).
- Advice, assistance and services from PGM brokers with industry experience and knowledge of Cleared-OTC products in:
  - ✓ Agriculture
  - ✓ Energy and Power
  - ✓ Metals



# LME, Cleared OTC Blocks and OTC

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At PGM, we cater to a wide range of clients with diverse requirements and strategies. We deliver each of them expertise in exchange-traded futures, options, foreign exchange, LME, Cleared OTC Blocks and OTC.



## OUR CLIENTS INCLUDE:

- Institutions
- Fund Managers
- CTAs
- OTC Energy Funds and Traders
- Broker-Dealers
- High Net Worth Individuals
- Self-Directed Traders
- Commercial Hedgers

With PGM's customized products and services, including our execution and clearing access, our technology solutions and our experience with managed futures, we believe we provide the resources to help you succeed.

# World Exchange Access

PGM provides access to a comprehensive list of global exchanges.

## AMERICAS

- CME Group
- ICE Futures US
- ICE Futures Canada
- Montreal Exchange
- Minneapolis Grain Exchange
- Kansas City Board of Trade
- CBOE Futures Exchange
- NYSE LIFFE US
- Mexican Derivatives Exchange
- BM&F Bovespa

## EMEA

- Eurex
- ICE Futures Europe
- London Metal Exchange
- NYSE Euronext Amsterdam
- NYSE Euronext Brussels
- NYSE Euronext Lisbon
- NYSE Euronext Paris
- NYSE LIFFE Financials and Commodities
- Spanish Official Exchange for Financial Futures and Options
- South African Futures Exchange
- Italian Derivatives Exchange Market
- Warsaw Derivatives
- Vienna Derivatives
- Turkdex
- Dubai Gold and Commodities Exchange
- Dubai Mercantile Exchange

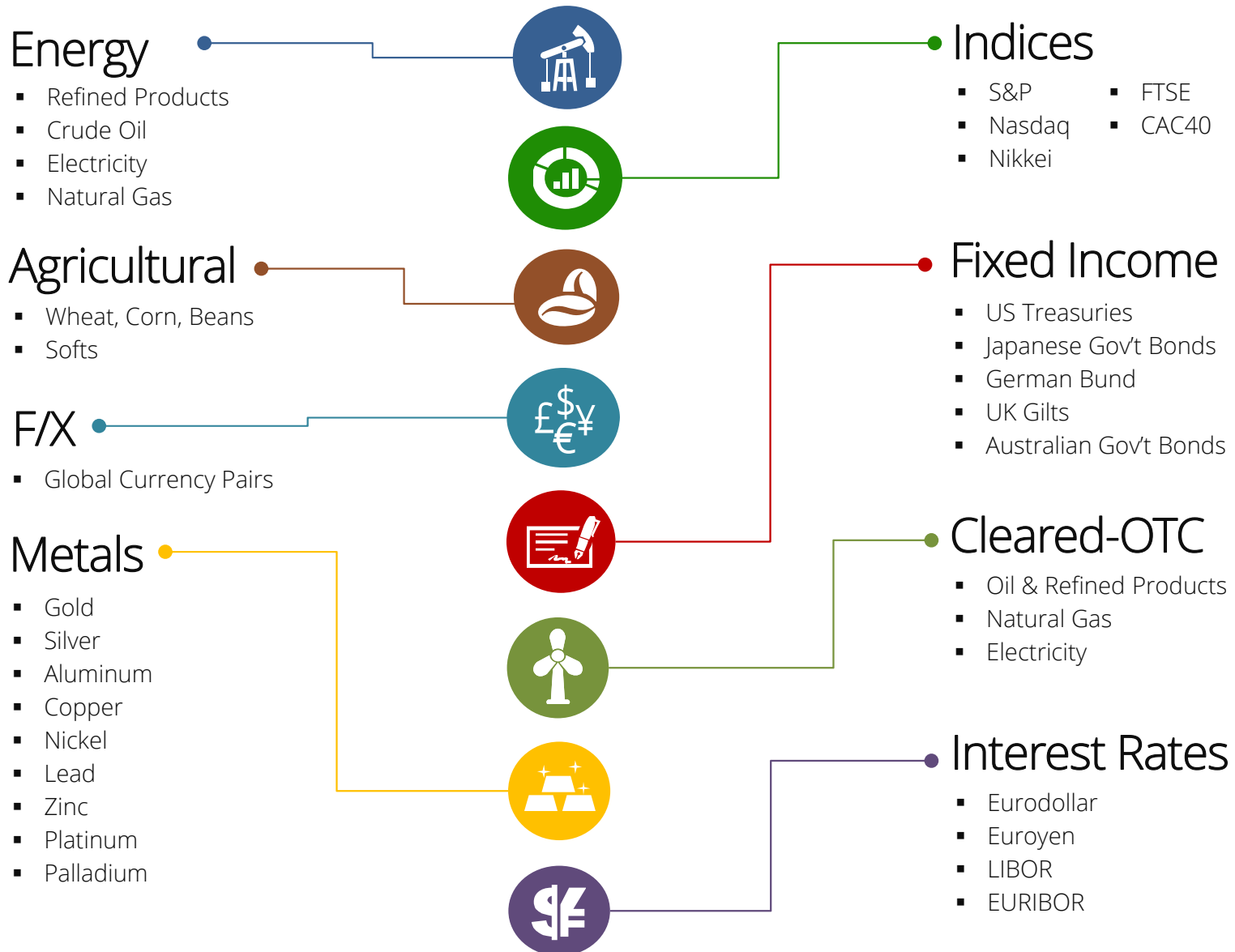
## ASIA PACIFIC

- Australia Securities Exchange
- Bursa Malaysia
- Hong Kong Futures Exchange
- Korean Futures Exchange
- Osaka Securities Exchange
- Singapore Exchange Limited
- Sydney Futures Exchange
- Taiwan Futures Exchange
- Thailand Futures Exchange
- National Stock Exchange of India
- Tokyo Commodity Exchange
- Tokyo Financial Exchange
- Tokyo Stock Exchange



# Market Access

PGM clients receive access to these futures markets.



# PGM – One Solution

When you choose PGM, a dedicated representative will work with you to create customized and innovative solutions for your unique needs. Through our streamlined execution and clearing process, our brokers can fully support your trading strategy.

Let PGM be your choice for global markets access and world-class service.



# Contact PGM



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# Disclaimer

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Commodity interest trading involves substantial risk and is not appropriate for all investors. You should carefully consider your financial circumstances before investing with any commodity interest products. You should also be aware that electronic trading has inherent risks due to system response and access times which may vary due to market conditions, individual system performance, market volume and other factors. All information, publications, and reports, including this specific material, used and distributed by Paragon Global Markets, LLC shall be construed as a solicitation. Paragon Global Markets, LLC does not distribute research reports, employ research analysts, or maintain a research department as defined in CFTC Regulation 1.71. Material is provided for informational purposes. This presentation contains information obtained from third-party sources believed to be reliable. Paragon Global Markets, LLC has not independently verified the content and its accuracy and timeliness are not guaranteed. Past performance is not necessarily indicative of future results.